



Continuing Education Transformation

Key Milestone Dates

<p>Check FinPro</p> <ul style="list-style-type: none"> Encourage individuals to establish a FinPro account for taking Regulatory Element and to check existing accounts for Maintaining Qualifications Program (MQP) eligibility 		<p>MQP Launch</p> <ul style="list-style-type: none"> Effective date of MQP and FINRA Rules 1210.09 and 1240(c) Open enrollment for individuals who terminated a registration on or after March 15, 2022 Deadline to opt in to MQP for previously terminated individuals and FSAWP participants 		<p>Regulatory Element Topics</p> <ul style="list-style-type: none"> Publication of 2023 Regulatory Element topics 		<p>CE Program Changes</p> <ul style="list-style-type: none"> Effective date of remaining changes, including FINRA Rules 1240(a) and (b) Annual Regulatory Element Firm Element training required for all registered persons Explicit recognition of other training toward Firm Element 		<p>CE Program Completion</p> <ul style="list-style-type: none"> Complete 2023 Annual Regulatory Element and Firm Element training Complete 2023 MQP content
<p>JANUARY 1, 2022</p>	<p>JANUARY 31, 2022</p>	<p>MARCH 15, 2022</p>	<p>JULY 1, 2022</p>	<p>OCTOBER 1, 2022</p>	<p>DECEMBER 31, 2022</p>	<p>JANUARY 1, 2023</p>	<p>OCTOBER 1, 2023</p>	<p>DECEMBER 31, 2023</p>
	<p>MQP Open Enrollment</p> <ul style="list-style-type: none"> Individuals who terminated a registration between March 15, 2020, and March 15, 2022 Individuals in Financial Services Affiliate Waiver Program (FSAWP) 		<p>MQP Learning Plan</p> <ul style="list-style-type: none"> 2022 MQP content becomes available to MQP participants 		<p>MQP Content Completion</p> <ul style="list-style-type: none"> Due date for completing the 2022 MQP content 		<p>Regulatory Element Topics</p> <ul style="list-style-type: none"> Publication of 2024 Regulatory Element topics 	